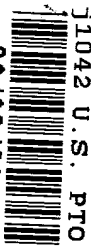


04-82-01

A

03/30/01

11000 U.S. PTO  
09/823474

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	David W. Rillie	)	Art Unit:	Unk.
		)		
Serial No.:	Unknown	)	Examiner:	Unk.
		)		
Filing Date:	March 30, 2001	)	Case No.:	1128.014
		)		
For:	SKYLIGHT TUBE WITH REFLECTIVE FILM AND	)	March 30, 2001	
	SURFACE IRREGULARITIES	)	750 "B" Street, Suite 3120	
		)	San Diego, CA 92101	

TRANSMITTAL LETTER FOR - NEW U.S. PATENT APPLICATION

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In connection with the above-captioned patent application, enclosed herewith are the following:

- (1) Fee Transmittal Form;
- (2) Applicant claims small entity status;
- (3) Specification in 21 pages;
- (4) 5 sheets of drawings;
- (5) Declaration in 2 pages;
- ✓(6) An assignment in 1 page, with Cover Sheet;
- (7) A Power of Attorney by Assignee;
- (8) Information Disclosure Statement, along with Form PTO-1449 and 9 references cited;
- (9) Two checks totalling \$623 and \$40 to cover government filing fees and recordation of assignment;
- (10) An acknowledgment postcard.

CASE NO.: 1128.008A

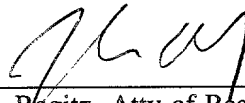
March 30, 2001

Page 2

**THE FILING FEES HAVE BEEN ESTIMATED AS FOLLOWS:**

(1) BASIC FEE (SMALL BUSINESS)	(2) NO. CLAIMS FILED	(3) NO. EXTRA CLAIMS	(4) RATE	(5) TOTAL FEES
\$355.00				\$355.00
TOTAL CLAIMS	32 - 20 =	12 x	\$9.00 =	108.00
INDEPENDENT CLAIMS	7 - 3 =	4 x	\$40.00 =	160.00
MULTIPLE DEPENDENT CLAIMS (IF ANY)	0		\$130.00 =	-0-
<u>TOTAL FILING FEE</u>				\$623.00

Respectfully submitted,



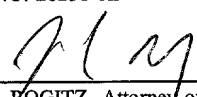
John L. Rogitz, Atty of Record, Reg. No. 33,549  
750 "B" Street, Suite 3120, San Diego, CA 92101  
Telephone: (619) 338-8075

JLR/jg

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this document, together with any papers described as attached or enclosed, is being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" service, Express Mailing label No. EL640503886 US under 37 CFR §1.10, addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on 3/30, 2001.

Date Signed: 3/30, 2001

  
JOHN L. ROGITZ, Attorney of Record  
Registration No. 33,549

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 663

## Complete if Known

Application Number	
Filing Date	March 30, 2001
First Named Inventor	David W. Rillie
Examiner Name	
Group Art Unit	
Attorney Docket No.	1128.014

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ 355

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
32	-20** = 12	9	108
7	-3** = 4	40	160
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 268

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	John L. Rogitz	Registration No. (Attorney/Agent)	33,549	Telephone	619-338-8075
Signature		Date	3/30/01		

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/55 (11-00)

Approved for use through 10/31/2002. OMB 0951-0031

U.S. Patent and Trademark Office, U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor		David W. Rillie
	Title	Skylight Tube with Reflective Film and Surface Irregularities	
	Atty Docket Number	112B,014	

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

3-30-01

Date

  
Signature

Donald J. Schweibold, President

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

**Burden Hour Statement:** This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 5 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231